## How Persistent Identifiers Support Open Science

Susanna Mornatisusanna.mornati@4science.it4Science, Italywww.4science.itBE-OPEN National Open Science Days, Belgrade, October 18-19, 2018



# Overview

- Presentation of 4Science
- PIDs in FAIR Principles
- PIDs in OpenAIRE Guidelines
- PIDs in COAR NGR Recommendations

- Use cases:
  - DSpace-CRIS
  - ORCID
  - IRIDE
  - Reviewer Credits



## 4Science is a European company specialized in solutions for:



**Cultural Heritage** 



Digital Preservation



Research Information and Data Management



4Science constituted a team of experts who gained decades of domain expertise and experience, both technological and methodological, in data management, resulting from numerous collaborations with universities and research institutes worldwide.







#### At 4Science, our team since 2003 contributes to open source projects and participates in international communities, developing and promoting open standards that enable interoperability to make Open Science possible.

CERIF

euro

Current Research Information Systems



NEXT GENERATION REPOSITORIES

Confederation of Open Access Repositories



- 4Science is Certified DuraSpace Partner for Dspace and DuraCloud
- Participates in the DSpace Leadership Group
- Participates in the **DSpace Steering Group**
- Participates in the **Dspace Community** Advisory Team (DCAT)
- Two committers in the **DSpace Committer Group**
- Lead of sub-team **REST API** for **DSpace 7**
- Participates in COAR Next Generation Repositories WG and Controlled Vocabularies EB
- Participates in euroCRIS CERIF & CRIS Architectures TG and CRIS & IR TG



### **Some of our Clients**









# The FAIR Principles



# The FAIR Principles - F

## TO BE FINDABLE:

- F1. (meta)data are assigned a globally unique and eternally persistent identifier.
- F2. data are described with rich metadata.
- F3. (meta)data are registered or indexed in a searchable resource.
- F4. metadata specify the data identifier.



# The FAIR Principles - A

## TO BE ACCESSIBLE:

- A1 (meta)data are retrievable by their identifier using a standardized communications protocol.
- A1.1 the protocol is open, free, and universally implementable.
- A1.2 the protocol allows for an authentication and authorization procedure, where necessary.
- A2 metadata are accessible, even when the data are no longer available.



# The FAIR Principles - I

## TO BE INTEROPERABLE:

- I1. (meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation.
- I2. (meta)data use vocabularies that follow FAIR principles.
- I3. (meta)data include qualified references to other (meta)data.



# The FAIR Principles - R

TO BE RE-USABLE:

- R1. meta(data) have a plurality of accurate and relevant attributes.
- R1.1. (meta)data are released with a clear and accessible data usage license.
- R1.2. (meta)data are associated with their provenance.
- R1.3. (meta)data meet domain-relevant community standards.



# The OpenAIRE Guidelines

### **Current Guidelines**

- OpenAIRE Guidelines for Literature Repositories
- OpenAIRE Guidelines for Data Archives
- OpenAIRE Guidelines for CRIS Managers based on CERIF-XML

#### **New Guidelines Drafts**

- Draft OpenAIRE Guidelines for Literature Repository Managers v4
- <u>Draft OpenAIRE Guidelines for Software Repository Managers</u>
- Draft OpenAIRE Guidelines for Other Research Products



## 18. Resource Identifier (M)

## 18.1. DC Field

dc:identifier

### 18.2. Usage

Mandatory

## **18.3. DCMI Definition**

An unambiguous reference to the resource within a given context.



## **18.4. Usage Instruction**

Recommended best practice is to identify the resource by means of a string or number conforming to a formal identification system. Example formal identification systems include the Uniform Resource Identifier (URI), the Uniform Resource Locator (URL), the Digital Object Identifier (DOI), and the URN:NBN.

The ideal use of this element is to use a direct link or a link to a jump-off page (persistent URL) from dc:identifier in the metadata record to the digital resource or a jump-off page.



Smart practice:

- use stable URL's
- provide every identifier one can find about the publication.
  - (URL,DOI,URN:NBN,ISBN,ISSN,etc.)
- place the "most appropriate" identifier in the form of a URL at the top of the list of Identifiers. In almost all cases this is the one that will be used by a service provider to let an end-user refer to. This can be a link to a jump-off page or a direct link to the file. Also this can be a direct URL, or a redirection URL, like PURL, HANDLE or other international resolution mechanisms.



## 18.7. Example

In this example the identifiers are sorted so that URLs are given first. The first URL will be considered as "most appropriate" and will be used in e.g. OpenAIRE to let an end-user redirect to. In this case the handle redirects to the jump-off page. A jump-off page is a good way to refer to. The end-user has the opportunity to see more information about the object(s) he has found, see the context and enjoy the other services a local repository has to offer:

- 1 <dc:identifier>http://hdl.handle.net/1234/5628 </dc:identifier>
- 2 <dc:identifier>http://arno.unimaas.nl/show.cgi?fid=5628 </dc:identifier>
- 3 <dc:identifier>http://n2t.info/urn:nbn:nl:ui:14123456789</dc:identifier>
- 4 <dc:identifier>urn:nbn:nl:ui:13-123456789</dc:identifier>
- 5 <dc:identifier>urn:isbn:123456789</dc:identifier>
- 6 <dc:identifier>info:doi:10-123456789</dc:identifier>

## **Next Generation Repositories**



Behaviours and Technical Recommendations of the COAR Next Generation Repositories Working Group November 28, 2017



https://www.coar-repositories.org/activities/advocacyleadership/working-group-next-generation-repositories/



**Behaviour 1: Exposing Identifiers** 

- Many repositories assign persistent identifiers to the scholarly resources they host.
- Since repositories reside on the web, the persistent identifier is expressed as a HTTP(S) URI.
- The persistent HTTP(S) URI is in most cases distinct from the URI of the landing page. As a matter of fact, it typically redirects to the landing page.
- Also, the actual content say the PDF or the dataset resides at yet another URI.



- As a result, in many cases, authors refer to resources by means of their landing page URI or the URI of actual content, even though the landing pages of some repositories indicates – in a human-readable manner – that the persistent HTTP(S) URI should be used for referencing.
- When reference managers, annotation tools, or crawlers happen upon a landing page or any other web resource that is part of a scholarly object, they are unable to identify the associated persistent HTTP(S) URI.
  - This is rather detrimental as the investment that is made in trying to achieve persistence goes to waste.



- This problem can be addressed by using typed HTTP links with an appropriate link type (cite-as) to point from web resources that are part of a scholarly object to their persistent HTTP(S) URI.
- This allows tools potentially even the browser bookmarking tool to auto-discover the identifier. Authors no longer need to bother to copy/paste the identifier from the landing page. And the persistence intended by these identifiers is achieved.

The technology to make this happen is called **Signposting** 





#### http://signposting.org/

"Signposting is not a formal standardization effort. It's just an accumulation of ideas from people that have spent a lot of time thinking about the web and scholarly communication on the web, working on specifications to improve on the interoperability status quo, and witnessing some specifications being adopted and others not."

- Signposting is an approach to make the scholarly web more friendly to machines.
- It informs machine agents about the nature of the resources that are linked from the resource they currently interact with.
- Signposting can be used to support automatic discovery of a variety of resources that pertain to a scholarly object, including a bibliographic description, a persistent identifier, a license, authors, or various resources that are part of the object.



- It uses typed links (in the HTTP Link header, the HTML element, or the rs:ln ResourceSync element) to reveal patterns that occur repeatedly in scholarly portals.
- For resources of any media type, these typed links are provided in <u>HTTP Link headers</u>. For HTML resources, they are additionally provided in <u>HTML link elements</u>.



HTTP/1.1 303 See Other Server: Apache-Coyote/1.1 Vary: Accept Location: http://www.dlib.org/dlib/november15/vandesompel/11vandesompel.html Link: <http://orcid.org/0000-0002-0715-6126> ; rel="author", <http://orcid.org/0000-0003-3749-8116> ; rel="author" Expires: Tue, 31 May 2016 17:18:50 GMT Content-Type: text/html;charset=utf-8 Content-Length: 217 Date: Tue, 31 May 2016 16:38:15 GMT Connection: keep-alive



- When visiting scholarly portals, readers can easily figure out landing pages, links to bibliographic records, authorship, etc. But, because portals use different conventions to convey such patterns, machines have a hard time finding their way around.
- As a portal administrator or operator of scholarly infrastructure, you can change that by implementing some of the Signposting patterns listed on this site. Doing so will allow machines to navigate scholarly portals in a uniform manner. Which will lead to applications that make things easier for readers too.





# DSpace-CRIS

DSpace-CRIS is an "**extended**" version of DSpace, the most adopted repository platform in the world.

It has a powerful and flexible **data model** to describe not just publications, but **all the entities** that populate the research environment and their meaningful **relationships**.

<u>https://wiki.duraspace.org/display/DSPACECRIS/DSpace-CRIS+Home</u> (documentation)

https://dspace-cris.4science.it/ (demo)



# Open solution for research information management

- DSpace-CRIS is **free**, **open source**, compliant with **open** standards, open protocols, open technologies.
- It provides institutions with a **sustainable and effective** tool to **manage research information** such as: researchers' profiles, department pages, project grants & awards, research outputs, patents, theses, metrics, reports, statistics, etc.

Now adopted in 6 continents:

https://wiki.duraspace.org/display/DSPACECRIS/DSpace-CRIS+Users



# An integrated view of the research ecosystem



# Advanced user interface



- State-of-art technology for your UI (next: version 7 with AngularJS UI)
- Adaptive, responsive
- Icons for intuitive exploration
- Widgets for most viewed, most cited, etc.

#### Ktisis at Cyprus University of Technology

#### Welcome to Ktisis

Ktisis is an open access institutional repository gathering any digital material relating to the various activities of the Cyprus University of Technology, especially original research material produced by the members of the University. Defined in this framework, Ktisis demonstrates the intellectual life and the research activities of the University, preserving, spreading and promoting the scientific research to the local and international community. Ktisis was named after the symbol of the Cyprus University of Technology depicting Ktisis, the spirit of creation.



Language

👤 Sign on to: -



# Researcher's profiles with PIDs



# Research outputs with PIDs

Ktisis at Cyprus University of Technology / Cyprus University of Technology Repository / Ap8pa/Articles

Please use this identifier to cite or link to this item: http://ktisis.cut.ac.cy/handle/10488/7613



# **Collaboration networks**



# Project keywords graph (circle packing)



Queensland Health (new example from the community In Australia)


# Interoperability & Persistent Identifiers

- Bibliographic/bibliometric import from ORCiD, CrossRef, ArXiv, PubMed, Scopus™, WebOfScience™, ADS, ...
- Integration with local IDM for authentication&authorization, HR system for researchers/organizational units info, hidden proprietary CRIS
- Export to data warehouse, to enable combined analytics with other institutional information
- OAI-PMH export to OpenAIRE and other specialized aggregators
- SEO (Search Engine Optimization)
- Support for PIDs for any entity (researchers, publications, journals, projects, funders, etc.)



# New features of DSpace-CRIS - 2018

- ORCID API v.2 (push/pull of profiles, publications, projects)
- Core Recommender / Signposting / ResourceSync (funded by OpenAIRE)
- Integration with OpenAIRE projects database
- Support for next OpenAIRE Guidelines for Data Archives (Datacite 4.1) (funded by OpenAIRE)



## Make your research FAIR with Dspace-CRIS

DSpace-CRIS (the free open-source CRIS solution) provides a persistent identifier of the digital objects (handle/DOI) (F) and supports other PIDs, such as ORCID (I). A machine-readable (Signposting) landing page provides access to indexed and searchable (Solr, SEO, Microformat, Google Scholar metatags) metadata, data files, licenses, and version information (F, A, R).



### Make your research FAIR with Dspace-CRIS

**DSpace-CRIS** is integrated with CKAN: deposits can include metadata, data files, and any complementary files (such as documentation or code) needed to understand the data. Tabular data are exposed as open data for M2M interaction (R). Metadata can be public, even if the data are restricted (F, A).



## Make your research FAIR with Dspace-CRIS

Metadata are available at the resource and file levels, and domain-specific schemas and vocabularies can be adopted.

Metadata can be exposed through a variety of methods (OAI-PMH, ResourceSync) and formats (including CERIF).

(I, R





ORCID provides a persistent digital identifier that distinguishes you from every other researcher and, through integration in key research workflows such as manuscript and grant submission, supports automated linkages between you and your professional activities ensuring that your work is recognized.

https://orcid.org/



# IRIDE (Italian Researcher IDentifier for Evaluation)

#### • Announcement:

 We are delighted to announce that Italy will be implementing ORCID on a national scale, and has signed a three-year consortium membership agreement with ORCID. Under the auspices of ANVUR and CRUI (the Conference of Italian University Rectors), 70 universities and four research centers will initially participate in the consortium. This large-scale adoption will underpin the IRIDE (Italian Researcher IDentifier for Evaluation) Project, using a platform developed by Cineca. The project's goal is to ensure that at least 80% of Italian researchers have an ORCID iD, with links to their research output back to 2006, by the end of 2016.

<u>https://orcid.org/blog/2015/06/19/italy-launches-national-orcid-implementation</u>



# IRIDE (Italian Researcher IDentifier for Evaluation)

- What happened:
  - By November 2015 (one year before the deadline), 98% of researchers at the Italian Universities participating in the project (against 80% of the original goal) had an ORCID!

(I'm very proud to have been the Director of this project)



## ReviewerCredits

# **REVIEWER** CREDITS

https://www.reviewercredits.com/



# ReviewerCredits

#### What is ReviewerCredits?

- The place to get credit for your peer review and conference activity The case
- Up until now, the peer review activity has not been recognized and certificated in a standardized way.
- Today, it is really hard to keep track of the reviews performed, and scientists generally add into their CV a vague sentence stating that they are reviewers for a number of Journals; this declaration is almost void, as it cannot be verified and, as said, does not give an idea of the amount of work spent.



# ReviewerCredits

#### How does it work?

- At ReviewerCredits, each review performed and claimed is validated by the Editorial Offices of Journals, and added in a certificate, automatically generated from members accounts, reporting, for each year, the number of reviews performed for each Journal.
- Individual membership is free of charge. Anonymity of the review process is maintained as neither the title nor the authors of manuscripts are requested when filling the claim.
  - A REST API is under development to allow retrieving peer review data automatically using the ORCID.



Where to find us next?

DuraSpace Summit USA April 2019

2019

# Thanks for your attention!

#### Susanna Mornati

susanna.mornati@4science.it skype: susanna.mornati linkedin: susannamornati orcid: 0000-0001-9931-3637 German DSpace User Group Meeting Bamberg April 2019 Hamburg 10-13 June

And more to come!

www.4science.it

